

Engaging stakeholders in Due Diligence - A Beginners Roadmap for SMEs

14.12.20

Why stakeholder engagement?

- Stakeholder engagement is at the core of due diligence for responsible business conduct.
- By consulting your key stakeholders, you will most likely get access to information no social audit or self-assessment questionnaire will provide.
- You will be able to make informed decisions and identify collaborative ways to address complex issues in your supply chain.

8 DECENT WORK AND
ECONOMIC GROWTH



12 RESPONSIBLE
CONSUMPTION
AND PRODUCTION



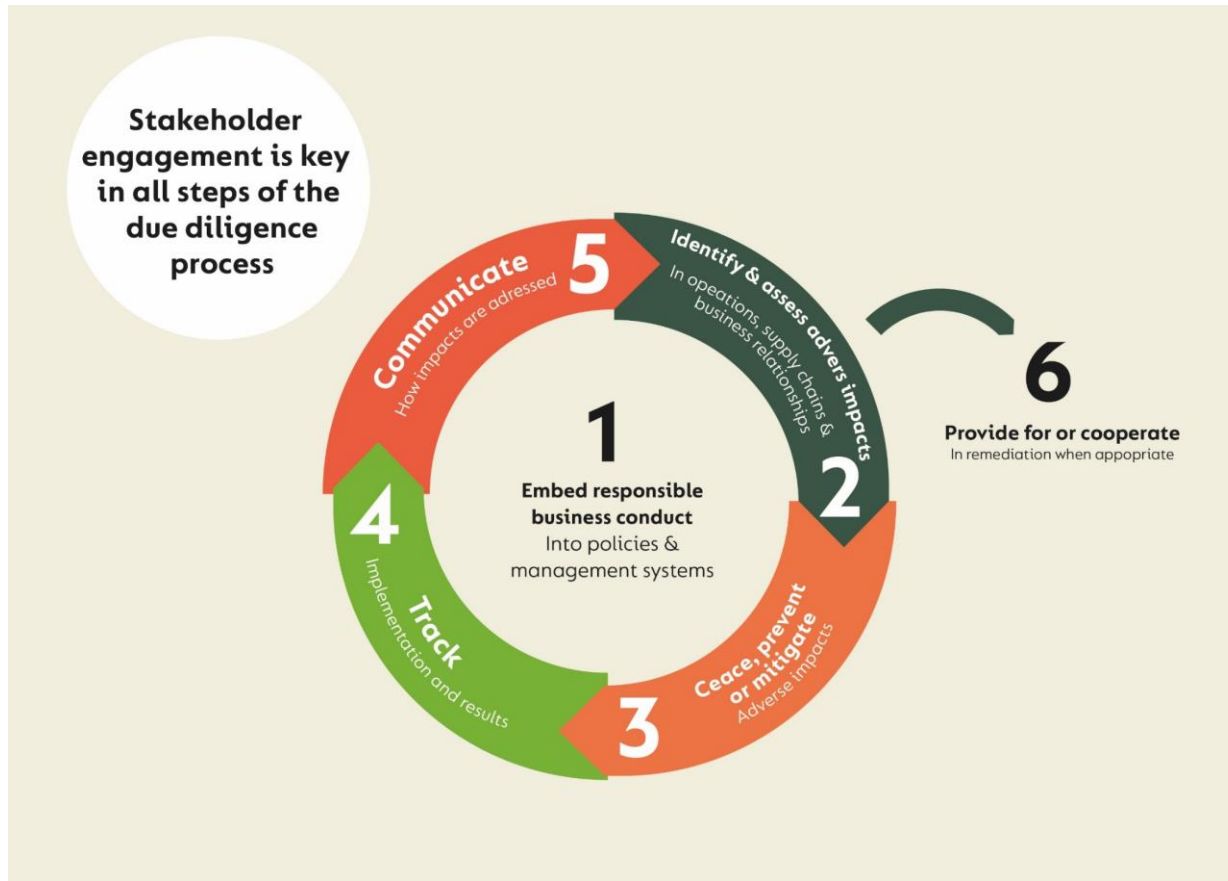
Roadmap for engaging stakeholders

- New guidance: “Engaging stakeholders in due diligence: A beginner’s roadmap for small and medium sized companies (SME’s)”
- A Practical tool
 - Designed to improve companies’ due diligence for responsible business conduct
 - Sets out how to identify key stakeholders and better engage with them
- Result of consultation with SMEs, a number of stakeholders in India and China and with Norwegian civil society organisations
- Accompanied by specific tools for stakeholder engagement in China and India.

<https://etiskhandel.no/stakeholder-engagement/>



Key in Responsible Business Conduct



- The guide is divided into six sub-chapters, based on OECD's model for due diligence for responsible business conduct (2018) and UNGP.
- It has an overview over key stakeholders and list of why and how to engage.
- The graphic provides an overview of the six sub-chapters, the due diligence stages across which stakeholders should be involved.
- It has an overview over key stakeholders and list of why and how to engage.

Example stakeholder checklist

STEP 1 Embed: stakeholder checklist

Stakeholder	How and why engage?	
Internal		
Board and Senior management	<ul style="list-style-type: none"> • Raise awareness of key issues at a senior level. • Gain their buy-in, support and ownership. • Agree on policy review procedures and timelines • Add weight to communications with suppliers on these issues. 	
Cross departmental teams (procurement, buyers, technical etc.)	<ul style="list-style-type: none"> • Ensure company commitments in policies reflect colleagues' expertise and practice • Agree on responsibilities for implementation • Ensure consistent messaging on these issues when engaging with suppliers e.g. When discussing pricing, quality, deadlines vs. criteria for human and environmental issues. • Embeds policy commitments in wider company process and practices, such as contracts and procurement 	
External		
JETI contact	<ul style="list-style-type: none"> • Ask for template examples of policies and codes of conduct which can be used and amended for a company's supply chains and specific topic. 	
Customers / Investors	<ul style="list-style-type: none"> • Understand what their requirements are to ensure commitments are as aligned as possible. 	
Suppliers	<ul style="list-style-type: none"> • Clearly articulate company commitments and expectations. • Listen and try to understand their challenges in meeting requirements. • Ensure Input on challenges they face that you haven't considered? • Ensure Input on gaps, commitments/requirements you should have 	
Trade unions and NGOs	<ul style="list-style-type: none"> • Get an expert view on any gaps in existing policies related to specific issues such as homeworking, subcontracting, and migrant workers • Understand their expectations of what a commitment should cover and how it should be embedded • Articulate the company's constraints and challenges. • Increases the credibility and legitimacy of a company's approach to addressing human rights and environmental issues. 	

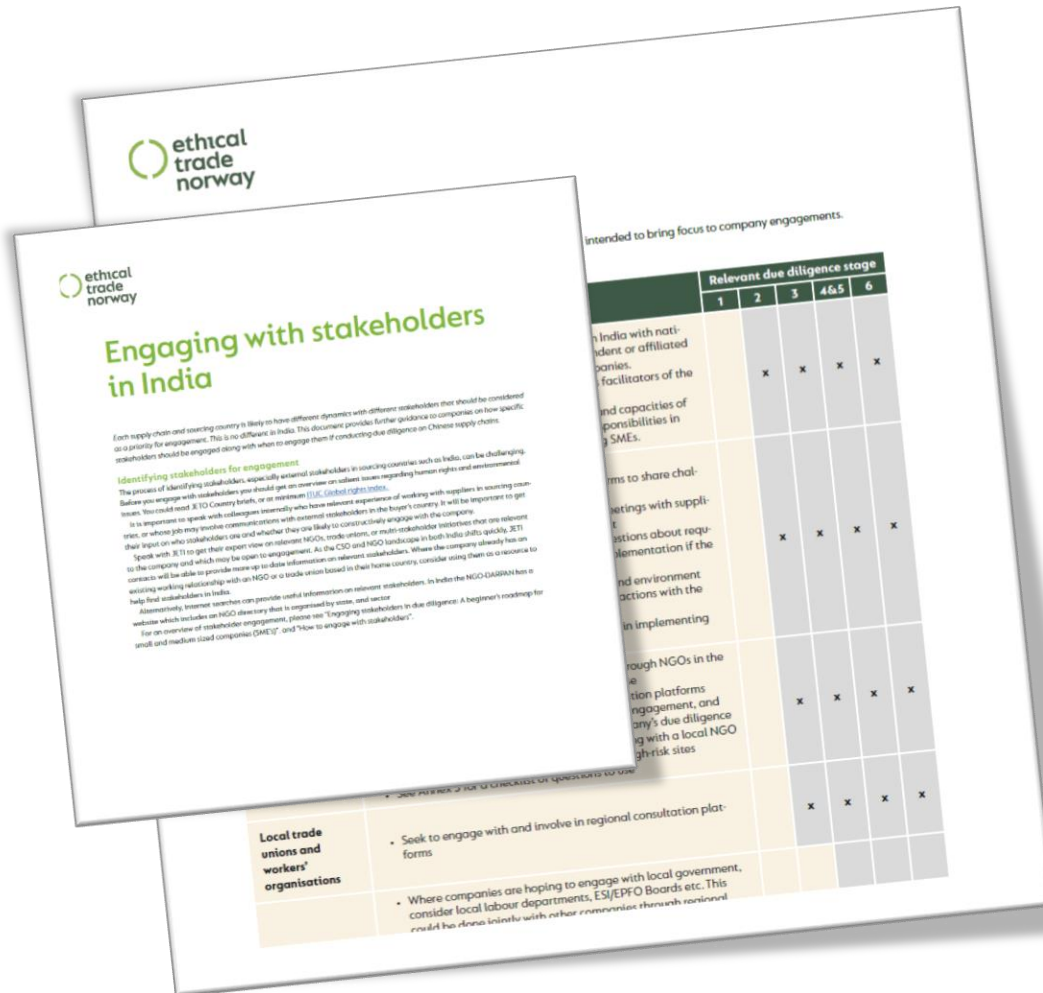
Key considerations

Key considerations stakeholder engagement:

- Seek to collaborate with others
- Consider the best approach for making contact, and consider language barriers. Consider local facilitators or support if needed.
- Be clear with objectives and scope of proposed engagement to set realistic expectations
- Agree on how to engage and communicate on an ongoing basis
- Be careful not to put vulnerable groups at further risk through engagement with stakeholders



Tool: Stakeholder engagement India

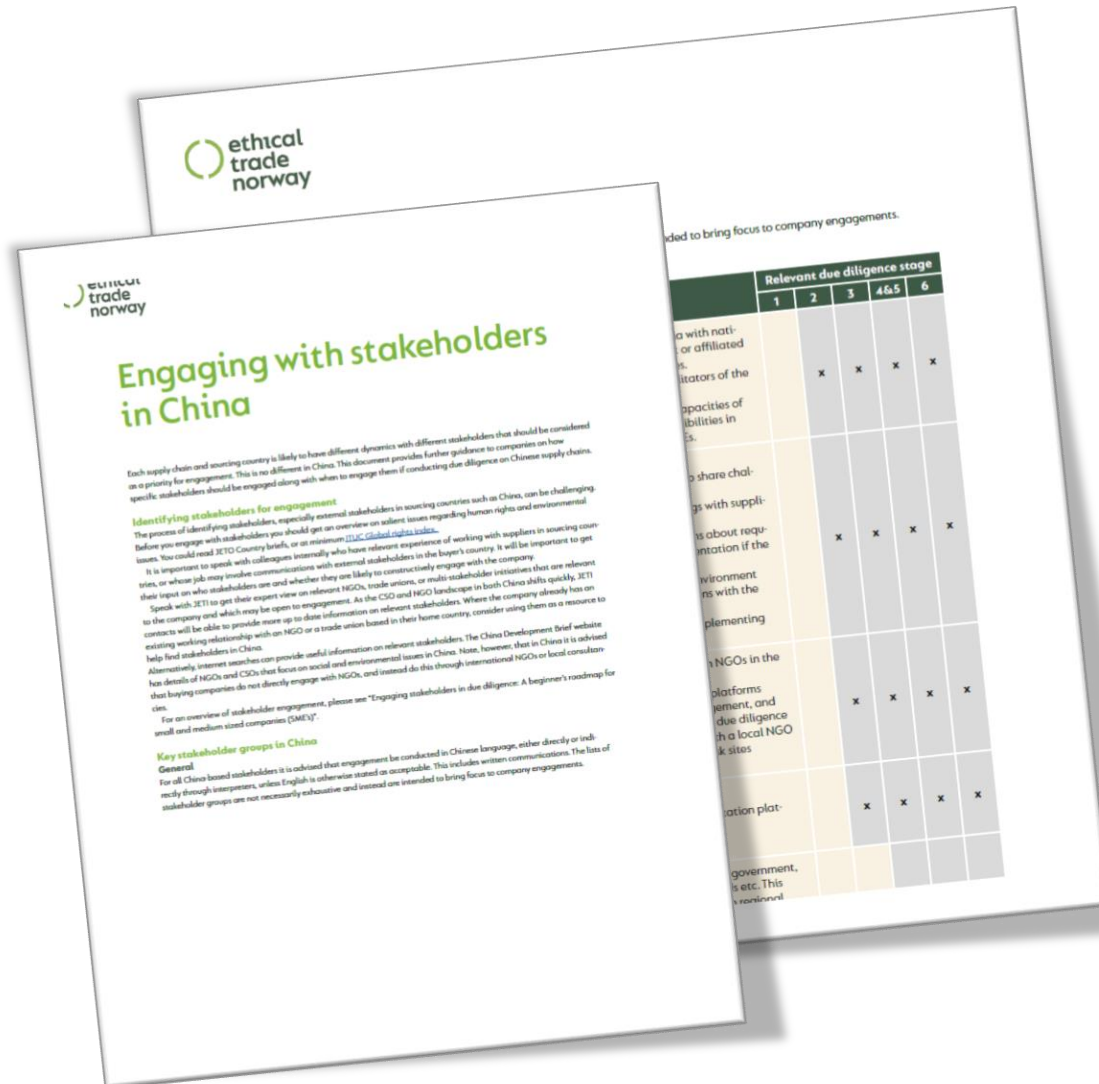


The image shows a screenshot of the NGO-DARPAN Sector-Wise NGO Directory. The directory lists 30 sectors, each with a corresponding number of NGOs. The sectors are listed in two columns:

Sector	Count
1 Animal Husbandry, Dairying & Fisheries	17193
2 Aged/Elderly	17654
3 Agriculture	24543
4 Art & Culture	25961
5 Biotechnology	9108
6 Children	36916
7 Civic Issues	13936
8 Differently Aabled	16548
9 Disaster Management	15311
10 Dalit Upliftment	14152
11 Drinking Water	20311
12 Education & Literacy	58611
13 Environment & Forests	27110
14 Food Processing	13962
15 Health & Family Welfare	39111
16 HIV/AIDS	18416
17 Housing	8494
18 Human Rights	17954
19 Information & Communication Technology	16033
20 Legal Awareness & Aid	15847
21 Labour & Employment	17913
22 Land Resources	7738
23 Micro Finance (SHGs)	13670
24 Minority Issues	13208
25 Micro Small & Medium Enterprises	13228
26 New & Renewable Energy	8680
27 Nutrition	12693
28 Panchayati Raj	11645
29 Prisoner's Issues	3746
30 Right To Information & Advocacy	8606

<https://ngodarpan.gov.in/index.php/home/sectorwise>

Tool: Stakeholder engagement China



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领域分类 共5471个机构

劳工,外来劳工(243)
环境保护(930)
动物福利(43)
三农与扶贫(810)
社区发展(1045)
救灾(180)
教育(1844)
公共卫生(213)
防灾(75)
性别与性少数(253)
老人(1383)
妇女儿童(646)
残障(905)
社会创新/社会企业(1016)
能力建设/研究/支持/咨询
民族/宗教/文化/艺术(385)
企业社会责任(660)
社工(1275)
其他(1028)

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· 西安市复和未成年人服务中心
· 深圳市元梦公益基金会
· 国际救助儿童会
· 广州市晨朗社会工作服务中心
· 六安因心公益志愿服务中心
· 瑞尔行为中心

为中国而教

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全职 | 牡丹江、西安工作机会 | 竹林居士 2020-12-11

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<http://www.chinadevelopmentbrief.org.cn/>

New project India

Project: Multi-stakeholder dialogue for responsible business conduct in India

- Ethical Trade Norway has applied Norad for funding for a new project in India (2021-2025)
- Seeks to improve working conditions in India, by strengthening stakeholder dialogue and engagement between civil society and companies through platforms in North and South India.
- Enabling structured dialogue between civil society, industry associations, global brands, suppliers, academics and other subject-experts
- Contribute to a space where civil society organisations are heard and where stakeholders can seek joint innovative solutions to challenges affecting workers
- Engage in joint advocacy.

